



Division of Success Trade Securities, Member FINRA | SIPC

Account Number _____

Certificate of Trust

* Please include a full copy of the trust when submitting this form.

REGISTRATION

Legal Name (Trustee(s))

As Trustee(s) for

Tax Identification Number

TRUSTEE CERTIFICATION

- The title of the Trust is as indicated in the Registration above; for example: John Jones (and Sam Smith) Trustee(s) for the benefit of the Mary Jones Trust
- The date of the Trust is _____.
- There are no other Trustees of the Trust other than the undersigned.
- The undersigned have the power under the Trust's charter documents and applicable law to enter into an agreement that provides for the investment of the assets comprising a part of the Trust. The following limitations restrict investment of Trust assets:

Restrictions: _____ (specify any investment restriction, type of security or property, margin, short sales, etc.)

Check box if Trust authorizes options trading

- Under the Trust's charter documents, any of the undersigned persons is authorized to instruct the introducing broker, and introducing broker is hereby authorized to accept such instructions, with regard to any action to be taken under the Agreement for the account of client.
- Under the applicable operating documents and applicable law, _____ is able to enter into transactions both purchases and sales of the types specified below:

Check box if Trust does not require all trustees to take action and indicate the number required: _____

Trustee's Signature	Trustee's Name
Social Security Number	Date of Birth (mm/dd/yyyy)
Address	Telephone Number

Trustee Signature	Trustee's Name
Social Security Number	Date of Birth (mm/dd/yyyy)
Address	Telephone Number

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NOTE: All Trustees must sign. Please attach additional pages if necessary. Should only one person execute the Trustee Certification, it shall be a representation that the signer is the sole Trustee. Where applicable, plural references in this Trustee Certification shall be deemed singular.